

CD Rom

03CD



OCT 18 2001

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/850,149
	Filing Date	May 8, 2001
	First Named Inventor	Clifton A. Rau
	Group Art Unit	Not Assigned
	Examiner Name	Not Assigned
Total Number of Pages in This Submission	Attorney Docket Number	CARAU001

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input checked="" type="checkbox"/> CD, Number of CD(s) <u>2</u>	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Steven M. duBois Reg. No. 35,023
Signature	<i>Steven M. duBois</i>
Date	October 18, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <input type="text"/>		
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Patent

OCT 18 2001

Attorney's Docket No. CARAU001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Clifton A. Rau)	Serial No. 09/850,149
)	
Title: MODEL RAILROAD CONTROL)	Filing Date: May 8, 2001
AND DISPLAY SYSTEM)	
)	
)	
)	

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Sir:

In complete response to the Notice to File Corrected Application Papers dated October 3, 2001 (copy enclosed), Applicant provides the following:

1. Enclosed herewith are a new set of compact discs pursuant to 37 C.F.R. § 1.52(e).
2. The compact discs are labeled as replacement discs pursuant to 37 C.F.R. §1.52(4) and (6).
3. Applicant hereby certifies pursuant to 37 C.F.R. §1.52(e) that each disc of the duplicate set submitted herewith is identical to the other disc in the set.
4. The requisite statement pursuant to 37 C.F.R. §1.52(e)(3) listing the required information regarding the files which are electronically stored on the identical compact discs is submitted herewith on a separate sheet.
5. A Preliminary Amendment is also submitted herewith which amends the specification to identify each disc and the files contained therein including the file name, file size and file creation date.
6. No new matter is included in the enclosed replacement compact disc set.

It is believed that the foregoing in conjunction, with the enclosures, satisfies the requirements of the Notice to File Corrected Application Papers and that no fees are due in conjunction with this response. If, however, additional information is needed, the Office is urged to contact the undersigned at (571)-277-2326.

Respectfully submitted,

By: 

Steven M. duBois
Reg. No. 35,023

Date: October 18, 2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Clifton A. Rau) Serial No. 09/850,149
)
Title: MODEL RAILROAD CONTROL) Filing Date: May 8, 2001
AND DISPLAY SYSTEM)
)
)
)

STATEMENT PURSUANT TO 37 C.F.R. § 1.52(e)(3)

Sir:

Each of the replacement compact discs submitted herewith have the following information stored thereon.

Machine Format: IBM-PC

Operating System Compatability: MS-Windows

List of Files: EZHEADER Size: 2KB Created: 10/7/01
EZRESWK Size: 1KB Created: 10/7/01
EZSWITCH Size: 36KB Created: 10/8/01
EZPWMNU Size: 2KB Created: 10/7/01

These ASCII text files can be viewed using Windows Explorer.

Respectfully submitted,

By: Steven M. duBois

Steven M. duBois
Reg. No. 35,023

Date: October 18, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/850,149	05/08/2001	Clifton A. Rau	CARA0001

CONFIRMATION NO. 3016

FORMALITIES LETTER



OC00000006840063

CLIFTON A. RAU
421 HAWK RIDGE LANE
SYKESVILLE, MD 21784

Date Mailed: 10/03/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

The compact disc(s) submitted fail to comply with 37 CFR 1.52(e) in that they contain non-ASCII files. A new duplicate set of compact discs with only ASCII files is required. Any replacement compact disc submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set. If a directory of the disc could be printed, it is attached and non-ASCII files are marked on the directory listing.

The compact disc(s) submitted fail to comply with 37 CFR 1.52(e) in that only a single copy of each disc was provided. A duplicate copy of each compact disc must be provided on Compact Disc-Read Only Memory (CD-ROM) or a Compact Disc-Recordable (CD-R) media. Any replacement compact discs submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set.

This application is objected to because it contains a data file on CD-ROM/CD-R, however, the transmittal letter does not list for each compact disc, the machine format, the operating system compatibility, a list of files contained on the compact disc including their names, sizes in bytes, and dates of creation, plus any other special information that is necessary to identify, maintain, and interpret the information on the compact disc as required by 37 CFR 1.52(e)(3). A statement listing the required information is required. Additionally, the disc(s) is not identified in the paper portion of the specification with a listing of all of the files contained on the disc. When portions of

an application are contained on a compact disc, the paper portion of the specification must identify the compact disc(s) and list the files including name, file size, and creation date on each of the compact discs. See 37 CFR 1.52(e). Applicant(s) are required to amend the specification to identify each disc and the files contained on the disc including the file name, file size, and file creation date.

A copy of this notice MUST be returned with the reply.



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